

## **EXHIBIT 1**

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**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION**

Brayden STARK, Judd OOSTYEN, Kevin BLACK, and Maryann OWENS, individually and on behalf of all others similarly situated.

**Plaintiffs.**

V

PATREON, INC.

## Defendant

No. 3:22-cv-03131-JCS

**UNITED STATES OF AMERICA'S  
NOTICE OF INTERVENTION**

Hon. Joseph C. Spero

Pursuant to Federal Rules of Civil Procedure 5.1(c) and 24(a)(1), 28 U.S.C. §§ 517 & 2403(a), and in accordance with the authorization of the Solicitor General of the United States, the United States of America hereby intervenes in this case for the limited purpose of defending the constitutionality of the Video Privacy Protection Act (“VPPA”), 18 U.S.C. § 2710.

1           On August 6, 2022, Defendant filed a Notice of Constitutional Question  
 2 pursuant to Federal Rule of Civil Procedure 5.1 and Civil L.R. 3-8. *See* ECF No. 24.  
 3 In that Notice, Defendant stated that its Motion to Dismiss, *see* ECF No. 21, “draws  
 4 into question the constitutionality of the Video Privacy Protection Act (“VPPA”), 18  
 5 U.S.C. § 2710,” specifically whether the VPPA “is unconstitutional because it  
 6 violates the First Amendment to the United States Constitution.” ECF No. 24.  
 7 Under Rule 5.1(c), the Attorney General may intervene within 60 days of the filing  
 8 of such a notice, “[u]nless the Court sets a later time.” Fed. R. Civ. P. 5.1(c).  
 9 Pursuant to stipulation, the Court initially enlarged the United States’ time to  
 10 intervene until November 4, 2022. *See* ECF Nos. 33 & 34

11           On October 13, 2022, the Court entered an order partially granting  
 12 Defendant’s motion to dismiss, with leave to amend, as to Plaintiffs’ VPPA claims.  
 13 Order at 1, ECF No. 40. In the Order, the Court expressly declined to reach the  
 14 parties’ constitutional arguments, and maintained the November 4, 2022 deadline  
 15 for the United States to determine whether to intervene. *Id.* at 19.

16           On October 27, 2022, Plaintiffs filed an amended complaint renewing their  
 17 claims under the VPPA. ECF No. 41. On November 3, 2022, the Court entered a  
 18 stipulation extending the deadline for the United States to intervene until seven  
 19 days after the filing of any motion to dismiss raising a constitutional challenge to  
 20 the VPPA. ECF No. 43. Thereafter, on November 8, 2022, the Court entered a  
 21 stipulation that, in relevant part, provided Defendant until November 23, 2022 to  
 22 respond to the amended complaint, and provided the United States with 10 days  
 23 after the filing of any motion to dismiss that raises a constitutional challenge to  
 24 the VPPA to intervene. ECF No. 45.

25           On November 23, 2022, Defendant filed a renewed motion to dismiss, in  
 26 which it challenges the constitutionality of the VPPA on its face under the First  
 27 Amendment. ECF No. 48.

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The United States is entitled to intervene in this case pursuant to the Federal Rules of Civil Procedure and relevant statutes. Rule 5.1(c) permits the Attorney General to intervene in an action where, as here, the constitutionality of a federal statute is challenged. In addition, Federal Rule of Civil Procedure 24 permits a non-party to intervene when the non-party “is given an unconditional right to intervene by a federal statute.” Fed. R. Civ. P. 24(a)(1). The United States has an unconditional statutory right to intervene “[i]n any action . . . wherein the constitutionality of an Act of Congress affecting the public interest is drawn in question . . .” 28 U.S.C. § 2403(a). In such an action, “the court . . . shall permit the United States to intervene . . . for argument on the question of constitutionality.” *Id.* 28 U.S.C. § 517 also authorizes an officer of the Department of Justice to intercede “to attend to the interest of the United States in a suit pending in a court of the United States.” 28 U.S.C. § 517; *see also, e.g., Wortman v. All Nippon Airways*, 854 F.3d 606, 617 (9th Cir. 2017) (“Pursuant to 28 U.S.C. § 517, the United States may submit a statement in a case expressing its views on relevant issues in which it has an interest.”).

Accordingly, the United States hereby provides notice of intervention in this matter for the limited purpose of defending the constitutionality of the VPPA. Accompanying this notice is the United States’ memorandum in support of the constitutionality of the VPPA.

Dated: December 5, 2022

Respectfully submitted,

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14 *Counsel for United States*

15                   **UNITED STATES DISTRICT COURT**  
16                   **NORTHERN DISTRICT OF CALIFORNIA**  
17                   **SAN FRANCISCO DIVISION**

18 Brayden STARK, Judd  
19 OOSTYEN, Kevin BLACK, and  
20 Maryann OWENS, individually  
21 and on behalf of all others  
22 similarly situated,

23                   Plaintiffs,

24                   v.

25 PATREON, INC.,

26                   Defendant.

27                   **No. 3:22-cv-03131-JCS**

28                   **UNITED STATES OF AMERICA'S  
MEMORANDUM IN SUPPORT  
OF THE CONSTITUTIONALITY  
OF THE VIDEO PRIVACY  
PROTECTION ACT**

Hon. Joseph C. Spero

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## INTRODUCTION

Since 1988, the Video Privacy Protection Act (VPPA) has prohibited a “video service provider” from knowingly disclosing “personally identifiable information” that demonstrates which video materials a consumer has requested or obtained—except in certain limited circumstances, such as disclosure to the consumer herself; to law enforcement in response to a warrant, subpoena, or court order; or with prior knowing, written consent in a separate form. 18 U.S.C. § 2710(b). Congress enacted the law to protect personal privacy with respect to consumers’ video viewing habits and advance important values like the right to intellectual freedom. The constitutionality of the statute has not been challenged in the nearly 35 years since its passage, and the only two federal courts to have considered First Amendment challenges to analogous state statutes have rejected those challenges. There is no basis for this Court to become the first (and only) court to find the VPPA unconstitutional.

Defendant asserts that the VPPA is facially overbroad because certain of its hypothetical applications could violate the First Amendment. In doing so, it has chosen a difficult path. The Supreme Court has repeatedly indicated that facial challenges are disfavored, and it has been particularly careful to rule narrowly in cases that address the intersection of privacy and the First Amendment. The VPPA is properly characterized as a regulation of commercial speech to which overbreadth analysis does not typically apply. The statute withstands the intermediate scrutiny analysis applicable to regulations of commercial speech. Although it may be possible to imagine limited scenarios in which the VPPA could prohibit non-commercial disclosures, any such application is not substantial in comparison to the statute’s legitimate sweep. And in any event, courts can consider those issues in a concrete context should they arise in future cases. The Court should reject Defendant’s facial challenge to the VPPA.

## 1 BACKGROUND

### 2 I. Legal Background

3 Congress enacted the VPPA in 1988 in response to the *Washington City*  
 4 *Paper's* publication of then-Supreme-Court-nominee Robert Bork's video rental  
 5 history, obtained without his knowledge or consent. *In re Nickelodeon Consumer*  
 6 *Privacy Litig.*, 827 F.3d 262, 278 (3d Cir. 2016). “Members of the Judiciary  
 7 Committee ‘denounced the disclosure,’” and Congress passed the VPPA soon after.  
 8 *Mollett v. Netflix, Inc.*, 795 F.3d 1062, 1065 (9th Cir. 2015) (quoting S. Rep. 100-  
 9 599, at 5 (1988), reprinted in 1988 U.S.C.C.A.N. 4342-1). The statute’s stated  
 10 purpose was “[t]o preserve personal privacy with respect to the rental, purchase,  
 11 or delivery of video tapes or similar audio visual materials.” *Id.* Among other  
 12 things, the Senate Report cited the First Amendment right to intellectual freedom  
 13 as one of the bases underlying the legislation. *See* S. Rep. 100-599, at 4-5.

14 The statute prohibits a “video tape service provider” from “knowingly”  
 15 disclosing “personally identifiable information concerning any consumer of such  
 16 provider” to “any person.” 18 U.S.C. § 2710(b)(1). It provides several exceptions to  
 17 this broad prohibition, permitting (1) disclosure to the consumer; (2) disclosure the  
 18 consumer has authorized via “informed, written consent” provided “in a form  
 19 distinct and separate from any form setting forth other legal or financial  
 20 obligations”; (3) disclosure to a law enforcement agency pursuant to a warrant,  
 21 subpoena, or court order; (4) disclosure that is “solely the names and addresses of  
 22 consumers,” provided the consumer had the ability to opt out and the disclosure  
 23 does not identify the subject matter of any material (unless the sole purpose is  
 24 marketing directly to the consumer); (5) disclosure “incident to the ordinary course  
 25 of business of the video tape service provider”<sup>1</sup>; and (6) disclosure pursuant to a

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27 <sup>1</sup> The VPPA defines “ordinary course of business” to include only “debt collection  
 28 activities, order fulfillment, request processing, and the transfer of ownership.” 18  
 U.S.C. § 2710(a)(2).

court order in a civil proceeding. *Id.* § 2710(b)(2). The statute creates a private right of action for any aggrieved person to bring a civil suit against any person who violates its terms. *Id.* § 2710(c). Upon a finding of liability, a court may award actual damages, but “not less than” \$2,500 in liquidated damages; punitive damages; attorneys’ fees and costs; and other appropriate equitable relief. *Id.* § 2701(c)(2)(A). The VPPA does not contemplate civil or criminal enforcement by the government.

The statute defines several key terms. As relevant here, a “video tape service provider” is “any person, engaged in the business . . . of rental, sale, or delivery of prerecorded video cassette tapes or similar audio visual materials.” *Id.* § 2710(a)(4). “Personally identifiable information” means “information which identifies a person as having requested or obtained specific video materials or services from a video tape service provider.”<sup>2</sup> *Id.* § 2710(a)(3). A “consumer” is defined as “any renter, purchaser, or subscriber of goods or services from a video tape service provider.” *Id.* § 2710(a)(3).

The VPPA has not previously been subject to First Amendment challenge. An analogous state statute, however, has survived such challenges: two different judges in the Southern District of New York determined that a Michigan statute’s restrictions on disclosure did not violate the First Amendment. See *Boelter v. Hearst Commc’ns, Inc.*, 192 F. Supp. 3d 427, 435 (S.D.N.Y. 2016) (*Hearst I*); *Boelter v. Advance Magazine Publishers Inc.*, 210 F. Supp. 3d 579, 585–86 (S.D.N.Y. 2016)

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<sup>2</sup> The Ninth Circuit interprets the term “personally identifiable information” as referring to “information that readily permit[s] an ordinary person to identify [a particular individual as having watched certain videos].” *Eichenberger v. ESPN*, 876 F.3d 979, 985 (9th Cir. 2017) (quoting *In re Nickelodeon*, 827 F.3d at 290) (emphasis and alterations in original). This standard focuses on the “perspective of the disclosing party” and the “information a video service provider discloses,” not “what the recipient of that information decides to do with it.” *Id.*

(*Advance Magazine*); see also *Boelter v. Hearst Commc’ns, Inc.*, 269 F. Supp. 3d 172, 196–98 (S.D.N.Y. 2017) (*Hearst II*). Specifically, a magazine subscriber sued two publishing companies under the Michigan Video Rental Privacy Act (MVRPA)<sup>3</sup>—a statute with prohibitions similar to the VPPA, but which also prohibits disclosure of written materials and sound recordings consumers acquire—over the publishers’ practice of selling customer information to data miners. The publishers defended the suits, in part, by arguing that the MVRPA was unconstitutional under the First Amendment on its face and as applied to them. Both courts rejected these arguments.

The court in *Hearst I*, the first ruling upon a First Amendment challenge to the MVRPA, determined that the regulated speech—“disclosing the identity of individuals who purchase [certain] products”—was commercial in nature. 192 F. Supp. 3d at 445. This was primarily because the information at issue “relays an individual’s economic decisions, elucidates an individual’s economic preferences, and facilitates the proposal of new commercial transactions,” and that businesses “profit from the collection and sale of data.” *Id.* (quoting *Conn. Bar Ass’n v. United States*, 620 F.3d 81, 95 (2d Cir. 2010)) (cleaned up). Applying the test governing commercial speech, *see Cent. Hudson Gas & Elec. Corp. v. Pub. Serv. Comm’n of N.Y.*, 447 U.S. 557, 566 (1980), the court next found that the statute was constitutional as applied to the publisher’s practice of selling information about its consumers to data miners and other third parties. The court determined that although the speech at issue was not misleading or unlawful, consumer privacy was a substantial state interest, *Hearst I*, 192 F. Supp. 3d at 447–48; that the data disclosure restrictions in the MVRPA directly advanced this interest, *id.* at 449;

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<sup>3</sup> The statute’s formal title is the “Preservation of Personal Privacy Act.” *See Mich. Comp. Laws Ann. §§ 445.1711–15.* Courts have referred to it by both names. *Compare Hearst I*, 192 F. Supp. 3d at 435 with *Advance Magazine*, 210 F. Supp. 3d at 585.

1 and that the statute was sufficiently narrowly tailored because it “limits the  
 2 dissemination of precisely the kind of information with which the state is  
 3 concerned”—namely, information disclosing individuals’ reading, listening, and  
 4 viewing preferences—and “targets those most likely to disseminate it,” *id.* The  
 5 *Hearst I* court also rejected the contention that the MVRPA was facially overbroad,  
 6 observing that facial challenges to statutes that regulate commercial speech are  
 7 limited to any non-commercial speech the statute covers and finding that the “vast  
 8 majority of [the statute’s] applications” raised “no constitutional problems  
 9 whatsoever.” *Id.* at 451–52 (quoting *United States v. Williams*, 553 U.S. 285, 302–  
 10 03 (2008)). The court in *Advance Magazine* reached the same conclusions a few  
 11 months later. *See Advance Magazine*, 210 F. Supp. 3d at 596–603. And in  
 12 *Hearst II*, the court reaffirmed its *Hearst I* holding that the MVPRA constituted a  
 13 valid restriction on commercial speech that did not offend the First Amendment.  
 14 *See Hearst II*, 269 F. Supp. 3d at 196–98.

15 II. Plaintiffs’ Claims

16 Plaintiffs Brayden Stark, Judd Oostyen, Kevin Black, and Maryann Owens  
 17 bring this putative class action for declaratory and injunctive relief and damages  
 18 against Defendant Patreon, Inc.—a website that allows consumers to pay  
 19 subscription fees to online creators in exchange for exclusive or additional access  
 20 to their content. *See* The Story of Patreon, <https://www.patreon.com/about>.  
 21 Plaintiffs, who are all Patreon subscribers, allege that Patreon disclosed the titles  
 22 of prerecorded videos they viewed on Patreon’s website and a series of numbers  
 23 unique to their Facebook profiles, or “Facebook ID[s],” to Meta via a tracking tool  
 24 called “Meta Pixel.” Am. Compl. ¶¶ 3–4, 46–50, 53, ECF No. 41. Sharing this  
 25 information allegedly benefitted Patreon by “improving its ability to promote its  
 26 content and services to its Users, thereby increasing its profits.” *Id.* ¶ 61; *see also*  
 27 *id.* ¶¶ 54–55 (“websites use the Pixel in hopes of better targeting their products

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1 and services on Facebook to interested consumers . . . in order to increase [their]  
 2 profits”). And gathering this information allegedly benefitted Meta by providing  
 3 Patreon “a greater incentive to advertise through Facebook or other Meta-owned  
 4 platforms,” and allowing Meta to “build[] more fulsome profiles of its own users”  
 5 and thereby “profit from providing more targeted ads.” *Id.* ¶ 60. Plaintiffs allege  
 6 they were harmed by the disclosure of their video viewing history because they  
 7 reasonably expected such information would remain private; because such  
 8 information is “valuable data in the digital advertising-related market” that they  
 9 could have profited from themselves; and because the disclosure diminished the  
 10 value of the Patreon subscriptions that Plaintiffs purchased. *Id.* ¶¶ 66–70.

11 Plaintiffs’ proposed class encompasses “[a]ll persons in the United States  
 12 who subscribed to Patreon.com, viewed prerecorded video content on Patreon.com,  
 13 and used Facebook during the time Meta’s Pixel was active on Patreon.com.” *Id.*  
 14 ¶ 74. They allege violations of the VPPA, violations of California state law, and  
 15 unjust enrichment.<sup>4</sup>

16 III. Procedural History

17 Plaintiffs filed the initial complaint in this matter on May 27, 2022. Compl.  
 18 ECF No. 1. On August 5, 2022, Defendant moved to dismiss that complaint under  
 19 Federal Rule of Civil Procedure 12(b)(6), raising statutory and constitutional  
 20 arguments. ECF No. 21. On August 6, 2021, Defendant filed a notice of  
 21 constitutional challenge pursuant to Federal Rule of Civil Procedure 5.1,  
 22 explaining that its motion to dismiss “draws into question the constitutionality of  
 23 the Video Privacy Protection Act (“VPPA”), 18 U.S.C. § 2710,” specifically “whether  
 24 the VPPA, on its face and as Plaintiffs seek to apply it, is unconstitutional because

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 26  
 27 <sup>4</sup> Because they bring claims under California law, Plaintiffs also propose a  
 28 “California Subclass,” consisting of “[a]ll persons in California who subscribed to  
 Patreon.com, viewed prerecorded video content on Patreon.com, and used Facebook  
 during the time Meta’s Pixel was active on Patreon.com.” Compl. ¶ 74.

1 it violates the First Amendment to the United States Constitution.” ECF No. 24.  
 2 On September 16, 2022, the United States filed an acknowledgement of the  
 3 constitutional challenge and a stipulation to extend until November 4, 2022 its  
 4 time to determine whether to intervene in this action in defense of the  
 5 constitutionality of the VPPA. ECF No. 33. The Court entered the stipulation the  
 6 same day. ECF No. 34.

7 On October 13, 2022, prior to the United States’ deadline to decide whether  
 8 to intervene, the Court entered an order partially granting Defendant’s motion to  
 9 dismiss with leave to amend. Order at 1, ECF No. 40. The order dismissed  
 10 Plaintiffs’ VPPA claim on statutory grounds; it expressly declined to reach the  
 11 parties’ constitutional arguments. *Id.* at 10–11, 14. The Court gave Plaintiffs until  
 12 October 27, 2022 to file an amended complaint and maintained the November 4,  
 13 2022 deadline for the United States to determine whether to intervene. *Id.* at 19

14 Plaintiffs filed their first amended complaint on October 27, 2022,  
 15 reasserting their claims under the VPPA. ECF No. 41. The parties stipulated to  
 16 extend the United States’ time to determine whether to intervene until seven days  
 17 after the filing of any motion to dismiss challenging the constitutionality of the  
 18 VPPA. ECF No. 42; *see also* ECF No. 43 (order entering stipulation). The parties  
 19 further stipulated to extend the United States’ deadline until 10 days after the  
 20 filing of any motion to dismiss challenging the constitutionality of the VPPA as  
 21 part of a stipulation extending Defendant’s time to respond to the amended  
 22 complaint. ECF No. 44; *see also* ECF No. 45 (order entering stipulation).

23 On November 23, 2022, Defendant filed a motion to dismiss the amended  
 24 complaint. Def.’s Mot. to Dismiss, ECF No. 48 (Mot. to Dismiss). That motion  
 25 challenges the constitutionality of the VPPA on its face under the First  
 26 Amendment. *Id.* at 3–17. It does not reassert the argument, raised in the initial  
 27 motion to dismiss, that the VPPA violates the First Amendment as applied to the  
 28

1 conduct alleged here. See ECF No. 21 at 13–20. It also does not contend that the  
 2 amended complaint fails to state a claim under the VPPA.

## 3 ARGUMENT

4 The Court should reject Defendant’s argument that the VPPA, on its face,  
 5 violates the First Amendment. Facial challenges to statutes are disfavored, and  
 6 the overbreadth doctrine upon which Plaintiffs rely is only appropriate where a  
 7 statute’s potentially unconstitutional applications far outweigh its legitimate  
 8 sweep. The doctrine does not apply to commercial speech, and thus could  
 9 invalidate the VPPA only if its application to non-commercial speech significantly  
 10 outweighed its regulation of commercial speech. It does not. The plain language  
 11 of the VPPA targets speech that is commercial in nature. The statute has been  
 12 applied over the years overwhelmingly to regulate commercial speech (as Plaintiffs  
 13 seek to do here). It withstands the intermediate scrutiny analysis that applies to  
 14 regulations of commercial speech. And any hypothetical non-commercial  
 15 application of the statute is trivial in comparison to the statute’s legitimate scope.  
 16 Defendant’s facial challenge therefore fails.

17 I. The Overbreadth Doctrine Has Limited Application and Does Not Apply to  
 18 Commercial Speech.

19 The Supreme Court has made clear that “[f]acial challenges are disfavored.”  
 20 *Wash. State Grange v. Wash. State Republican Party*, 552 U.S. 442, 450 (2008).  
 21 There are a number of reasons for this. First, “[c]laims of facial invalidity often  
 22 rest on speculation” and risk “premature interpretation of statutes on the basis of  
 23 factually barebones records.” *Id.* (quoting *Sabri v. United States*, 541 U.S. 600, 609  
 24 (2004)). Second, such challenges “run contrary to the fundamental principle of  
 25 judicial restraint” that courts should avoid deciding a constitutional issue unless  
 26 necessary, and fashion constitutional rulings narrowly. *Id.* (citing *Ashwander v.*  
 27 *TVA*, 297 U.S. 288, 346–47 (1936) (Brandeis, J., concurring)). Third, “facial  
 28 challenges threaten to short circuit the democratic process by preventing laws

1 embodying the will of the people from being implemented in a manner consistent  
 2 with the Constitution.” *Id.* at 451.

3       The First Amendment overbreadth doctrine represents “an exception to the  
 4 traditional rule that ‘a person to whom a statute may constitutionally be applied  
 5 may not challenge that statute on the ground that it may conceivably be applied  
 6 unconstitutionally to others in situations not before the Court.’” *L.A. Police Dep’t*  
 7 *v. United Reporting Pub. Corp.*, 528 U.S. 32, 39 (1999) (quoting *New York v. Ferber*,  
 8 458 U.S. 747, 767 (1982)). Pursuant to this doctrine, litigants may challenge a  
 9 statute based on “a judicial prediction or assumption that the statute’s very  
 10 existence may cause others not before the court to refrain from constitutionally  
 11 protected speech.” *Broadrick v. Oklahoma*, 413 U.S. 601, 612 (1973). And although  
 12 both the Supreme Court and the Ninth Circuit have applied this doctrine where  
 13 warranted, *see Mot. to Dismiss* 6, the Supreme Court has cautioned that  
 14 “invalidation for [First Amendment] overbreadth is ‘strong medicine’ that is not to  
 15 be ‘casually employed.’” *United States v. Sineneng-Smith*, 140 S. Ct. 1575, 1581  
 16 (2020) (quoting *Williams*, 553 U.S. at 293). The Supreme Court has been  
 17 particularly careful to avoid broad pronouncements in cases involving “clashes  
 18 between the First Amendment and privacy rights” in light of the “sensitivity and  
 19 significance of the interests presented.” *Bartnicki v. Vopper*, 532 U.S. 514, 529  
 20 (2001) (citation and alteration omitted). In such cases it has instead opted to “rely[]  
 21 on limited principles that sweep no more broadly than the appropriate context of  
 22 the instant case.” *Id.*; *see also Barr v. Am. Ass’n of Political Consultants, Inc.*, 140  
 23 S. Ct. 2335, 2348–56 (2020) (severing unconstitutional provision rather than  
 24 invalidating entire consumer privacy law under the First Amendment).

25       “A statute is not overbroad just because ‘one can conceive of some  
 26 impermissible applications.’” *Marquez-Reyes v. Garland*, 36 F.4th 1195, 1201 (9th  
 27 Cir. 2022) (quoting *Members of City Council of L.A. v. Taxpayers for Vincent*, 466

U.S. 789, 800 (1984)). Instead, the statute must be *substantially* overly broad, “not only in an absolute sense, but also relative to the statute’s plainly legitimate sweep.” *Williams*, 553 U.S. at 292. If a challenged statute does not “reach[] a substantial amount of constitutionally protected conduct,” “then the overbreadth challenge must fail.” *Vill. of Hoffman Estates v. Flipside, Hoffman Estates, Inc.*, 455 U.S. 489, 494 (1982).

“[O]verbreadth analysis does not typically apply to commercial speech.” *Bd. of Trustees of State Univ. of N.Y. v. Fox*, 492 U.S. 469, 481 (1989); *see also, e.g., Vill. of Hoffman Estates*, 455 U.S. at 497 (“the overbreadth doctrine does not apply to commercial speech”). If a statute targeting commercial speech also applies to non-commercial speech, however, overbreadth analysis may be used to analyze the statute’s non-commercial applications. *See Fox*, 492 U.S. at 481–82.

## II. The VPPA Regulates Commercial Speech.

“The first step in overbreadth analysis is to construe the challenged statute; it is impossible to determine whether a statute reaches too far without first knowing what the statute covers.” *Williams*, 553 U.S. at 293. The next step is to examine whether the statute, properly construed, covers “a substantial amount of protected activity.” *Id.* at 297. Applying this test to the VPPA makes plain that the statute principally regulates commercial speech, which is not subject to overbreadth analysis. *Accord Hearst I*, 192 F. Supp. 3d at 451–52; *Advance Magazine*, 210 F. Supp. 3d at 602–03.

The VPPA prohibits a specific type of speech: disclosures by proprietors revealing certain products that individuals requested or obtained. *See* 18 U.S.C. § 2710(b). On its face, the statue applies only to disclosure of actual or proposed transactions—whether a person “requested or obtained specific video materials or services,” *id.* § 2710(a)(3)—by a business—specifically, a “video tape service provider” “engaged in the business . . . of rental, sale, or delivery of prerecorded video cassette tapes or similar audio visual materials,” *id.* § 2710(a)(4). And it

1 includes reasonable, limited exceptions to account for the preferences of the  
 2 consumer, *see id.* § 2710(b)(2)(A) & (B); the needs of law enforcement entities or  
 3 courts, *see id.* § 2710(b)(2)(C) & (F); and the practicalities of running a business,  
 4 *see id.* § 2710(b)(2)(D) & (E). The reach of the statute is thus demonstrably  
 5 constrained. Notably, it does not apply to news organizations, advocacy groups, or  
 6 other entities whose mission is to publicize information of public import. Nor is it  
 7 targeted at information that would typically be a matter of public concern.

8       In practice, the VPPA's restrictions have overwhelmingly been applied to  
 9 disclosures of private information by businesses for financial gain. Take the  
 10 conduct alleged here. Patreon allegedly disclosed Plaintiffs' video viewing history  
 11 to Meta to more effectively target Patreon's products and services to consumers.  
 12 *See Am. Compl.* ¶¶ 54–55, 61. What is more, Meta allegedly benefitted  
 13 economically from the disclosure as a result of (1) Patreon's increased incentive to  
 14 advertise through Facebook and other Meta-owned platforms, and (2) the ability  
 15 to create more fulsome profiles of Facebook users, which enabled Meta to profit  
 16 from more targeted advertising. *See id.* ¶ 60. The vast majority of cases alleging  
 17 violations of the VPPA involve similar scenarios. *See, e.g., Eichenberger v. ESPN,*  
 18 876 F.3d 979, 981 (9th Cir. 2017) (disclosure of video viewing history by producer  
 19 of sports-related programming to analytics provider); *Czarnionka v. The Epoch*  
 20 *Times Ass'n, Inc.*, 2022 WL 17069810, at \*1 (S.D.N.Y. Nov. 17, 2022) (disclosure of  
 21 video viewing history through Facebook Pixel); *Lebakken v. WebMD, LLC*, 2022  
 22 WL 16716151, at \*2 (N.D. Ga. Nov. 4, 2022) (disclosure of video viewing history to  
 23 Facebook); *In re Facebook, Inc. Consumer Privacy User Profile Litig.*, 402 F. Supp.  
 24 3d 767, 798 (N.D. Cal. 2019) (disclosure of video viewing history by social media  
 25 company to app developers and others); *In re Hulu Privacy Litig.*, 86 F. Supp. 3d  
 26 1090, 1093–94 (N.D. Cal. 2015) (disclosure of video viewing history by provider of  
 27

1 on-demand video content to social media company). Defendant has identified no  
 2 case alleging a non-commercial disclosure.

3       The type of speech the VPPA regulates fits comfortably within the  
 4 commercial speech doctrine. Although the “core” of commercial speech is that  
 5 “which does no more than propose a commercial transaction,” *Bolger v. Youngs*  
*Drugs Prod. Corp.*, 463 U.S. 60, 66 (1983) (quoting *Va. State Bd. of Pharm. v. Va.*  
*Citizens Consumer Council, Inc.*, 425 U.S. 748, 762 (1976)), courts consider this  
 6 definition a “starting point,” and utilize “a common-sense distinction between  
 7 commercial speech and other varieties of speech,” *Ariix, LLC v. NutriSearch Corp.*,  
 8 985 F.3d 1107, 1115 (9th Cir. 2021) (quoting *Jordan v. Jewel Food Stores, Inc.*, 743  
 9 F.3d 509, 516 (7th Cir. 2014)). Courts also look to the three *Bolger* factors: whether  
 10 the speech is an advertisement, whether it “refers to a product,” and whether “the  
 11 speaker has an economic motivation.” *Hunt v. City of Los Angeles*, 638 F.3d 703,  
 12 715 (9th Cir. 2011) (citing *Bolger*, 463 U.S. at 66–67). These factors are  
 13 “guideposts”; they are not “dispositive.” *Ariix*, 985 F.3d at 1116. Nor does each of  
 14 these factors need to be present for speech to be considered commercial. *First*  
*Resort, Inc v. Herrera*, 860 F.3d 1263, 1272 (9th Cir. 2017). And the Supreme Court  
 15 has signaled that “it would regard as commercial speech any ‘expression related  
 16 solely to the economic interests of the speaker and its audience.’” *United Reporting*  
*Publ’g Corp. v. Cal. Highway Patrol*, 146 F.3d 1133, 1137 (9th Cir. 1998) (quoting  
 17 *Cent. Hudson*, 447 U.S. at 561), *reversed on other grounds by L.A. Police Dep’t v.*  
*United Reporting Publ’g. Corp.*, 528 U.S. 32 (1999). Thus, at bottom, commercial-  
 18 speech analysis is “fact-driven,” and recognizes “the inherent difficulty of drawing  
 19 bright lines” in this context. *Ariix*, 985 F.3d at 1115 (quoting *First Resort*, 860 F.3d  
 20 at 1272).

21       Although the speech the VPPA regulates does not necessarily “propose a  
 22 commercial transaction,” *Bolger*, 463 U.S. at 66, the information disclosed is a  
 23

1 proposed or completed commercial transaction, *see* 18 U.S.C. § 2710(a)(3), and in  
 2 the majority of its applications, the disclosure relates “solely to the economic  
 3 interests of the speaker and its audience,” *United Reporting*, 146 F.3d at 1137  
 4 (quoting *Central Hudson*, 447 U.S. at 561). It also “relays an individual’s economic  
 5 decisions, elucidates an individual’s economic preferences, and ‘facilitates the  
 6 proposal of new commercial transactions.’” *Hearst I*, 192 F. Supp. 3d at 445  
 7 (quoting *Conn. Bar Ass’n*, 620 F.3d at 95) (cleaned up); *see also Advance Magazine*,  
 8 210 F. Supp. 3d at 597 (finding disclosures prohibited by the MVRPA were  
 9 commercial speech, in part, because they were “intended . . . to initiate commercial  
 10 activity”). The majority of the conduct regulated by the VPPA thus constitutes  
 11 commercial speech.

12 Defendant contends that disclosure of a consumer’s video viewing history  
 13 does not propose a commercial transaction, and as a result, the VPPA does not  
 14 regulate commercial speech. Mot. to Dismiss 8. In so arguing, Defendant relies  
 15 heavily upon the Ninth Circuit’s decision in *IMDb.com Inc. v. Becerra*, 962 F.3d  
 16 1111 (9th Cir. 2020). But the Ninth Circuit has made clear that whether speech  
 17 proposes a commercial transaction is a mere “starting point” in a commercial-  
 18 speech analysis, and that the inquiry requires a more fact- and context-specific  
 19 analysis. *See, e.g., Ariix*, 985 F.3d at 1115; *First Resort*, 860 F.3d at 1272. As  
 20 explained, applying that analysis here demonstrates that the VPPA targets  
 21 commercial speech.

22 *IMDb.com* is readily distinguishable. There, the Ninth Circuit held that a  
 23 statute prohibiting “commercial online entertainment service provider[s]”—a  
 24 definition designed to apply specifically to the plaintiff—from publishing  
 25 information about performers’ ages on its public website did not target commercial  
 26 speech. 962 F. 3d at 1119–20. This conclusion turned on the fact that the statute  
 27 at issue prohibited the publication of information obtained and submitted by  
 28

members of the public to IMDb.com's wiki-style public profiles, which were "free," "publicly available," and contained content that was "encyclopedic, not transactional." *Id.* at 1121–22. Given these circumstances, the Ninth Circuit found the speech at issue in *IMDb.com* non-commercial. In contrast, the vast majority of the disclosures regulated by the VPPA—including those alleged here—constitute the exchange of economic information between two commercial actors for financial gain. *See id.* at 1124–25 (distinguishing "an inherently private exchange between private parties" from the posting of information "free of charge for the public to review"). *IMDb.com* therefore does not govern, and the VPPA is properly characterized as a regulation of commercial speech.

### III. The VPPA Withstands Intermediate Scrutiny.

Because the majority of the speech the VPPA regulates is commercial in nature, its constitutionality under the First Amendment is analyzed under the *Central Hudson* standard. That standard examines: (1) whether the expression "concern[s] lawful activity and [is not] misleading"; (2) "whether the asserted governmental interest is substantial"; (3) "whether the regulation directly advances the governmental interest asserted"; and (4) "whether it is not more extensive than is necessary to serve that interest." *Retail Digital Network, LLC v. Prieto*, 861 F.3d 839, 844 (9th Cir. 2017) (en banc) (quoting *Central Hudson*, 447 U.S. at 566). The VPPA's disclosure restrictions survive scrutiny under *Central Hudson*.

Given that the prohibited disclosures are not unlawful or misleading, the initial inquiry is whether the governmental interest underlying the VPPA is "substantial." *Central Hudson*, 447 U.S. at 566. Congress passed the statute "[t]o preserve personal privacy with respect to the rental, purchase, or delivery of video tapes or similar audio visual materials." S. Rep. 100-599 at 1; *see also Eichenberger*, 876 F.3d at 983 (explaining that the VPPA "protects privacy interests . . . by ensuring that consumers retain control over their personal

information”). It has long been recognized that “the protection of . . . privacy is a substantial state interest.” *Fla. Bar v. Went For It, Inc.*, 515 U.S. 618, 625 (1995) (quoting *Edenfield v. Fane*, 507 U.S. 761, 769 (1993)). Courts have specifically acknowledged the government’s substantial interest in protecting the privacy of consumer information. *See Hearst I*, 192 F. Supp. 3d at 448; *Advance Magazine*, 210 F. Supp. 3d at 599; *see also Trans Union Corp. v. FTC*, 245 F.3d 809, 818 (D.C. Cir. 2001) (finding that the government’s “interest [in] protecting the privacy of consumer credit information . . . is substantial”). Defendant does not dispute that the protection of consumer privacy is a substantial governmental interest. *See Mot. to Dismiss 15.*

The next question is whether the VPPA “directly advances” the government’s interest in protecting the privacy of individuals’ video viewing choices. *Central Hudson*, 447 U.S. at 566. This prong requires the government to “demonstrate that the harms it recites are real and that its restriction will in fact alleviate them to a material degree.” *Rubin v. Coors Brewing Co.*, 514 U.S. 476, 487 (1995) (quoting *Edenfield*, 507 U.S. at 770–71). On its face, the VPPA applies to individuals who sell, rent, or deliver video recordings—the only individuals, other than the consumer, likely to have access to the information the statute seeks to keep private. *Accord Hearst I*, 192 F. Supp. 3d at 449. Restricting the ability of these individuals who possess a consumer’s video purchase, rental, or request history to disclose such information directly advances the goal of keeping that information private. *Id.* The lawsuits that have credibly alleged VPPA violations over the years demonstrate that the disclosures the statute seeks to prevent are a legitimate concern. *See, e.g., Yershov v. Gannett Satellite Info. Network, Inc.*, 820 F.3d 482 (1st Cir. 2016) (plaintiffs adequately stated claim under the VPPA); *Czarnionka*, 2022 WL 17069810, at \*2–4 (same); *Lebakken*, 2022 WL 16716151, at \*2–5 (same); *In re Vizio, Inc., Consumer Privacy Litig.*, 238 F. Supp. 3d 1204, 1221–

1       26 (C.D. Cal. 2017) (same); *see also Amazon.com v. Lay*, 758 F. Supp. 2d 1154,  
 2       1170–71 (W.D. Wash. 2010) (issuing declaratory judgment that a requested  
 3       disclosure would violate the VPPA).

4              The final inquiry under Central Hudson is whether the challenged statute  
 5       is sufficiently narrowly drawn. This requires a fit between the governmental  
 6       interest and the statute’s prohibitions that is “not necessarily perfect,” but rather  
 7       “reasonable.” *Fox*, 492 U.S. at 480. Such a fit exists here. As explained, the  
 8       VPPA’s disclosure prohibitions are targeted at the commercial entities most likely  
 9       to have, and thus, be in a position to make public, information Congress intended  
 10      to protect. *See* 18 U.S.C. § 2710(a)(4). What is more, the statute includes limited  
 11      exemptions that allow consumers to make choices about their own information,  
 12      and permit regulated entities to conduct business and comply with law  
 13      enforcement and judicial directives as necessary. *See id.* § 2710(b)(2).

14              In addition to arguing that the VPPA is overbroad, Defendant argues that  
 15       the statute is not sufficiently narrowly drawn because it is regulates *too little*  
 16       speech, insofar as it does not cover entities that provide video content free of charge  
 17       or disclosure of consumers’ consumption of other types of media.<sup>5</sup> Mot. to  
 18      Dismiss 15–17. This contention lacks merit. It is well settled that the government  
 19      “need not address all aspects of a problem in one fell swoop” and is permitted to  
 20      focus regulation on certain types of information, and on the entities most likely to  
 21      possess the information it seeks to protect. *See Williams-Yulee v. Fla. Bar*, 575  
 22      U.S. 443, 449 (2015); *see also, e.g., French v. Jones*, 876 F.3d 1228, 1238–39 (9th  
 23      Cir. 2017); *Holt v. Facebook, Inc.*, 240 F. Supp. 3d 1021, 1035 (N.D. Cal. 2017). And  
 24      in any event, some courts have found that the VPPA covers businesses that provide  
 25

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26       <sup>5</sup> Defendant contends that the statute’s purported underinclusiveness  
 27       demonstrates that it is not narrowly tailored to fit a compelling governmental  
 28       interest. Mot. to Dismiss 15–17. As explained, because the VPPA regulates  
      commercial speech, it is subject only to the less-demanding *Central Hudson*  
      inquiry.

1 video content free of charge in order to generate revenue through advertising,  
 2 provided that the individual whose video content was disclosed is a “subscriber”  
 3 under 18 U.S.C. § 2710(a)(1). *See Lebakken*, 2022 WL 16716151, at \*2; *see also*  
 4 *Ellis v. Cartoon Network*, 803 F.3d 1251, 1256 (11th Cir. 2015) (“Payment . . . is  
 5 only one factor a court should consider when determining whether an individual is  
 6 a ‘subscriber’ under the VPPA.”).

7 Moreover, states have enacted regulations that cover many of the situations  
 8 to which Defendant points, and Congress is not required to pass laws redundant  
 9 with existing regulation. *Cf. Burson v. Freeman*, 504 U.S. 191, 207 (1992) (“The  
 10 First Amendment does not require States to regulate for problems that do not  
 11 exist.”). For example, all but two states and the District of Columbia have laws  
 12 protecting the confidentiality of library records. *See Advance Magazine*, 210 F.  
 13 Supp. 3d at 599 (citing the American Library Association). In each of the two states  
 14 that do not have such laws, the state attorney general has issued an opinion  
 15 protecting the privacy of library users. *See Am. Library Ass’n, State Privacy Laws*  
 16 *Regarding Library Records*, <https://perma.cc/YXA6-6LB6> (collecting state laws and  
 17 attorney general opinions). States have also enacted a variety of laws to protect  
 18 digital privacy. *See Nat'l Conf. of State Legislatures, State Laws Related to Digital*  
 19 *Privacy*, <https://perma.cc/XS76-4DNT> (collecting state laws). For all of these  
 20 reasons, the VPPA is not fatally underinclusive. *Accord Advance Magazine*, 210 F.  
 21 Supp. 3d at 601.

22 IV. Defendant’s Hypothesized Non-Commercial Applications of the VPPA Do  
Not Render the Statute Substantially Overbroad.

23 The few hypothetical situations in which the VPPA could apply to non-  
 24 commercial speech do not substantially outweigh its legitimate application to  
 25 commercial speech. Defendant concocts a number of scenarios in which it asserts  
 26 that the VPPA would impermissibly restrict protected speech. *See* Mot. to  
 27 Dismiss 10–15. But these situations are improbable and infrequent in relation to  
 28

1 the statute's application to commercial speech. They have occurred either  
 2 extremely rarely, or not at all, in the nearly 35 years since the statute's passage.  
 3 Even if the statute could apply in such a scenario, such occurrence would be  
 4 infrequent, and a court could address it in the regular course, with the benefit of  
 5 concrete facts.

6 Defendant first argues that the VPPA could prohibit disclosure of  
 7 information of significance to the public, such as a public official's video rental  
 8 history demonstrating that he or she has taken hypocritical positions on important  
 9 issues. Mot. to Dismiss 10–11. Although Defendant is hypothetically correct that  
 10 such disclosure could constitute a form of non-commercial speech prohibited by the  
 11 VPPA, the unlikely scenario that a commercial provider of video products would  
 12 both be in a position to, and take action to, publicly disseminate such information  
 13 is not substantial as compared to the statute's legitimate applications. *Accord*  
 14 *Hearst I*, 192 F. Supp. 3d at 452; *Advance Magazine*, 210 F. Supp. 3d at 602.  
 15 Nothing similar has occurred since the statute's passage, to the government's  
 16 knowledge. In addition, the VPPA does not impose liability on any entity other  
 17 than a "video tape service provider" for revealing such information—including any  
 18 news organization—which further limits the concern that the statute could prevent  
 19 the airing of matters of public concern.

20 Defendant next posits that the VPPA could prevent a video tape service  
 21 provider from voluntarily notifying law enforcement authorities that an individual  
 22 accessed illegal content. Mot. to Dismiss 11. This scenario is even less likely to  
 23 occur. Logically, a proprietor would be aware that an individual requested or  
 24 obtained illegal and dangerous content only *if it provided those materials*. Such  
 25 disclosure would thus require a video tape service provider to expose itself to  
 26 criminal liability by admitting it is in the business of selling unlawful content.  
 27 Instead of accounting for this highly improbable situation, the statute  
 28

appropriately addresses the potential need for law enforcement to access an individual's video viewing history by permitting disclosure pursuant to a warrant, subpoena, or court order. 18 U.S.C. § 2710(b)(2)(C).

It is also unlikely that the VPPA might prevent a significant number of "video store clerk[s]" from informing one customer that a different customer—perhaps the first customer's "minister," "spouse," or "babysitter"—had obtained certain materials that, although legal, the clerk presumably believes customer number one might find objectionable. Mot. to Dismiss 11–12. Even assuming enough brick-and-mortar video rental stores remained to make this a widespread concern—which they likely do not—this scenario would require a large number of video store clerks to have extensive knowledge of their customers' personal relationships, pay enough attention to their customers' rentals to note videos they found objectionable, and to have the proper combination of nosiness, moral certitude, and disregard for others' privacy to disclose this information. Merely describing the situation demonstrates its unlikelihood.

Nor does the VPPA prevent businesses from making disclosures that consumers are aware of and agree to. See 18 U.S.C. § 2710(b)(2)(B). Assuming a driving school or a provider of continuing education is a "video tape service provider" and a student taking an online class is a "consumer" under the VPPA—which is not necessarily the case—the school could disclose the student's video viewing history as long as it received prior, written consent in a separate form. *Contra* Mot. to Dismiss 12. Students would presumably consent to a school sharing their video viewing history where doing so was required to certify that the student completed a mandatory course.

Defendant's remaining arguments do not concern scenarios in which it claims the VPPA would apply to non-commercial, protected speech, but instead raise general complaints about the statute. These are easily addressed. *First*,

1 Defendant cites no case law suggesting that a requirement of separate, written  
 2 consent necessarily violates the First Amendment. Whether an individual  
 3 consented to waive her privacy rights is a key inquiry in cases alleging violations  
 4 of privacy statutes. *See, e.g., Van Patten v. Vertical Fitness Grp.*, 847 F.3d 1037,  
 5 1044 (9th Cir. 2017); *In re Facebook*, 402 F. Supp. 3d at 788–89. It therefore makes  
 6 good sense for a privacy statute to set clear expectations, for both businesses and  
 7 customers, as to what form valid consent would take. And in any event, it is  
 8 unlikely that consumers who believe they consented to a disclosure, albeit in the  
 9 wrong form, would bring suit under the VPPA. *Second*, Defendant’s speculation  
 10 that the statute could prohibit disclosure of information that the consumer has  
 11 already made publicly available elsewhere, Mot. to Dismiss 13, while  
 12 hypothetically possible, would not necessarily concern non-commercial speech, and  
 13 therefore does not demonstrate that the statute is substantially overly broad.  
 14 Tellingly, none of Defendant’s cited case law involves regulations of commercial  
 15 speech. *Third*, the Ninth Circuit has specifically recognized that a violation of the  
 16 VPPA harms the affected consumer’s “*substantive* right to privacy.” *Eichenberger*,  
 17 876 F.3d at 983–84. Defendant is thus incorrect that the VPPA could only validly  
 18 protect information that was “highly offensive.” Mot. to Dismiss 14. In doing so,  
 19 Defendant once again attempts to subject a regulation of commercial speech to the  
 20 higher standards applied to, for example, regulation of media reporting on issues  
 21 of public concern. *See id. Fourth*, Defendant contends that the VPPA’s imposition  
 22 of a minimum of \$2,500 in damages renders the statute overly broad because the  
 23 consumer could have “no actual damages.” Mot. to Dismiss 14. But Defendant  
 24 cites no case law suggesting this would be impermissible. And again, the Ninth  
 25 Circuit has specifically recognized that, even in the absence of monetary damages,  
 26 a violation of the VPPA harms the affected consumer’s “*substantive* right to  
 27 privacy.” *Eichenberger*, 876 F.3d at 983–84.

28

## CONCLUSION

For the foregoing reasons, the Court should reject Defendant's constitutional challenge.

Dated: December 5, 2022

Respectfully submitted,

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